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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/658,659	09/08/2000	Vincent P. Stanton JR.		3340

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EXAMINER

CHAKRABARTI, ARUN K

ART UNIT	PAPER NUMBER
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1634

DATE MAILED: 04/25/2002

20

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/658,659

**Applicant(s)**

STANTON, VINCENT P.

**Examiner**

Arun Chakrabarti

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 171-181 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 171-181 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☒ Other: *Detailed Action*.

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## DETAILED ACTION

### *Specification*

1. Applicant has elected Group XV, corresponding to claims 171-181. However, applicant's request to examine the species (a)-(h) has been considered and found to be persuasive.

Accordingly, all the species of claims 171 and 181 are hereby being examined.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 171, 177, 179, and 181 are rejected under 35 U.S.C. 102(b) as being anticipated by Rozen et al. (PCT International Publication Number: WO 95/33054) (December 7, 1995).

Rozen et al teach an isolated nucleic acid probe comprising at least 15 contiguous nucleotides of the nucleotide sequence of SEQ ID NO: 15 (methylenetetrahydrofolate reductase), the probe comprising nucleotide 120 wherein N is C (Abstract and Figure 1A).

Rozen et al teach the probe comprising DNA and a detectable label (Abstract, Page 34, lines 23-25, and Claim 1 and Figure 1A and Page 18, lines 18-35).

Rozen et al teach a method comprising:

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a) providing a sample comprising nucleic acid molecules present in a biological sample obtained from a patient (page 21, line 13 to page 23, line 28);

b) contacting the sample with a probe comprising at least 15 contiguous nucleotides of the nucleotide sequence of SEQ ID NO: 15 (methylenetetrahydrofolate reductase), the probe comprising nucleotide 120 wherein N is C (Abstract and Figure 1A and claim 2 and page 21, line 14 to page 22, line 25); and

c) determining if the sample comprises a nucleic acid molecule that hybridizes to the probe (page 21, line 14 to page 24, line 8).

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 171, 173-177, and 179-181 are rejected under 35 U.S.C. 103(a) over Rozen et al. (PCT International Publication Number: WO 95/33054) (December 7, 1995) in view of Haughland et al. (U.S. Patent 5,443,986) (August 22, 1995).

Rozen et al teach the probe and method of claims 171, 177, 179, and 181 as described above.

Rozen et al do not teach a shorter probe comprising no more than 50-500 contiguous nucleotides and fluorescent label.

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Haughland et al teach a shorter probe comprising no more than 50-500 contiguous nucleotides and fluorescent label (Column 25, lines 21-47).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to combine and substitute a shorter probe comprising no more than 50-500 contiguous nucleotides and fluorescent label of Haughland et al. into the probe and method of Rozen et al, since Haughland et al. state, "Modern DNA synthesis has permitted an automatic and routine preparation and labeling of an oligonucleotide with lengths up to about 100 bases. (Column 25, lines 32-34)." Haughland et al further provide motivation as Haughland et al. state, "The substrates in this invention represent an important advance in situ hybridization for mRNAs, viruses as well as genomic DNA (Column 25, lines 45-47)". By employing scientific reasoning, an ordinary artisan would have combined and substituted a shorter probe comprising no more than 50-500 contiguous nucleotides and fluorescent label of Haughland et al. into the probe and method of Rozen et al, in order to improve the sequencing of nucleic acids of patients with methylenetetrahydrofolate reductase gene abnormality. An ordinary practitioner would have been motivated to combine and substitute a shorter probe comprising no more than 50-500 contiguous nucleotides and fluorescent label of Haughland et al. into the probe and method of Rozen et al, in order to achieve the express advantages noted by Haughland et al., of an invention that represents an important advance in in situ hybridization for mRNAs, viruses as well as genomic DNA.

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6. Claims 171, 177-179, and 181 are rejected under 35 U.S.C. 103(a) over Rozen et al. (PCT International Publication Number: WO 95/33054) (December 7, 1995) in view of Cohen et al. (U.S. Patent 6,232,456 B1) (May 15, 2001).

Rozen et al teach the probe and method of claims 171, 177, 179, and 181 as described above.

Rozen et al do not teach a probe comprising a peptide nucleic acid.

Cohen et al teach a probe comprising a peptide nucleic acid (Column 13, lines 41-63).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to combine and substitute a probe comprising a peptide nucleic acid of Cohen et al. into the probe and method of Rozen et al, since Cohen et al. state, "PNAs are naturally charged moieties which can be directed against RNA targets or DNA. PNA probes used in assays in place of, for example, the DNA probes of the present invention, offer advantages not achievable when DNA probes are used. These advantages include manufacturability, large scale labeling, reproducibility, stability, insensitivity to changes in ionic strength and resistance to enzymatic degradation which is present in methods utilizing DNA or RNA (Column 13, lines 47-55)." By employing scientific reasoning, an ordinary artisan would have combined and substituted a probe comprising a peptide nucleic acid of Cohen et al. into the probe and method of Rozen et al in order to improve the sequencing of nucleic acids of patients with methylenetetrahydrofolate reductase gene abnormality. An ordinary practitioner would have been motivated to combine and substitute a probe comprising a peptide nucleic acid of Cohen et al.

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into the probe and method of Rozen et al, in order to achieve the express advantages noted by Cohen et al., of PNA probes which offer advantages not achievable when DNA probes are used, which includes manufacturability, large scale labeling, reproducibility, stability, insensitivity to changes in ionic strength and resistance to enzymatic degradation which is present in methods utilizing DNA or RNA.

*Allowable Subject Matter*

7. Claim 172 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

*Conclusion*

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arun Chakrabarti, Ph.D., whose telephone number is (703) 306-5818. The examiner can normally be reached on 7:00 AM-4:30 PM from Monday to Friday. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jones, can be reached on (703) 308-1152. The fax phone number for this Group is (703) 305-7401. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group analyst Chantae Dessauat reached at (703) 605-1237.

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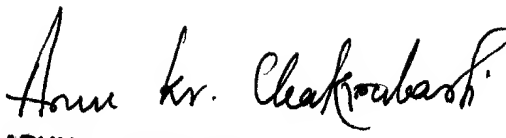
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Arun Chakrabarti,

Patent Examiner,

April 2, 2002

  
**ARUN K. CHAKRABARTI**  
**PATENT EXAMINER**